



Aja Financial, LLC

1407 Bonita Avenue
Opelika, Alabama 36801
(334) 744-0761

Dated 29 February 2024

Form ADV Part 2B – Brochure Supplement

For

Timothy Aja 4443823

Owner, and Chief Compliance Officer

This brochure supplement provides information about Timothy Aja that supplements the Aja Financial, LLC (“AF”) brochure. A copy of that brochure precedes this supplement. Please contact Timothy Aja if the AF brochure is not included with this supplement or if you have any questions about the contents of this supplement.

Additional information about Timothy Aja is available on the SEC’s website at www.adviserinfo.sec.gov which can be found using the identification number 4443823.

Item 2: Educational Background and Business Experience

Timothy Aja

Born: 1980

Educational Background

- 2002 – B.S., Business Administration Finance, Salisbury University
- 2011 – M.S., Business Administration Finance, Auburn University

Business Experience

- 01/2022 – Present, City of Opelika Councilman, Ward 3
- 11/2019 – Present, Aja Financial, LLC, Owner and CCO
- 02/2014 – Present, US Navy Selected Reserves, Navy Officer
- 10/2019 – 04/2020, Arbor Point Advisors, LLC., Investment Advisor Representative
- 10/2019 – 04/2020, Securities America, Inc., Registered Representative
- 09/2019 – 10/2019, Cetera Advisors Network, LLC., Registered Representative
- 04/2018 – 10/2019, Cetera Investment Advisors, LLC., Investment Advisor Representative
- 02/2018 – 09/2019, Summit Brokerage Services, Inc., Registered Representative
- 02/2014 – 01/2018, PricewaterhouseCoopers, Senior Associate
- 10/2002 – 01/2013, US Navy Active Duty, Navy Officer - Pilot

Professional Designations, Licensing & Exams

Timothy Aja holds no professional designations, however he currently maintains the following Securities Registrations:

Series 7 - The General Securities Representative (Series 7) qualifies a holder for the solicitation, purchase, and/or sale of all securities products, including corporate securities, municipal securities, municipal fund securities, options, direct participations programs, investment company products, and variable contracts.

Series 66 - The Uniform Combined State Law (Series 66) qualifies holders as both securities agents and investment adviser representatives. The Series 7 is a corequisite exam that needs to be successfully completed in addition to the Series 66 exam before a holder can register with a state.

Item 3: Disciplinary Information

No management person at Aja Financial, LLC has ever been involved in an arbitration claim of any kind or been found liable in a civil, self-regulatory organization, or administrative proceeding.

Item 4: Other Business Activities

Timothy Aja is currently employed as an Officer in the U.S. Navy Selected Reserves. This activity accounts for approximately 5 hours of his time per week, plus at least one weekend a month, and at least two weeks a year.

On January 11, 2022 Timothy Aja was elected to serve the citizens of Opelika's Ward 3 on City Council. This activity accounts for approximately 2 hours of time per week, and then a regularly scheduled City Council session the first and third Tuesday of each month in the evening.

Item 5: Additional Compensation

Timothy Aja does not receive any economic benefit from any person, company, or organization, in exchange for providing Clients advisory services through AF.

Item 6: Supervision

Timothy Aja, as Owner and Chief Compliance Officer of AF, is responsible for supervision. He may be contacted at the phone number on this brochure supplement.

Item 7: Requirements for State Registered Advisers

Timothy Aja has NOT been involved in an arbitration, civil proceeding, self-regulatory proceeding, administrative proceeding, or a bankruptcy petition.